

## ADVISER PROFILE

### AUDEN HARROP

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#### OVERVIEW

Auden has worked for over ten years in the financial planning industry.

Auden Harrop is a Sub-Authorised Representative of Westgarth Financial Services Pty Ltd, Corporate Authorised Representative No. 323892. Authorised Representative No. 344584.

#### SPECIAL INTERESTS

Auden's strength is the educational approach he takes with clients to create an understanding of financial strategies, and his commitment and passion for providing quality financial planning advice. Auden communicates strategies in ways that are easily understood by anyone, no matter what their background may be. Auden is also committed to developing a deeper understanding of financial markets which means strategies are carefully developed and considered for appropriateness before any recommendation is made. Such an ethical approach to financial planning is a characteristic which sets Auden apart from other advisers, and clients appreciate the candour and honesty of discussions. Auden regularly reads academic publications on subjects related to investment markets and financial planning and attends industry seminars to enable him to stay abreast of the latest changes and updates to the financial planning industry.

#### QUALIFICATIONS

Auden holds a Bachelor of Arts (International Studies) degree, a Graduate Certificate of Wealth Management and meets the competency requirements under ASIC's Regulatory Guide RG 146. Auden is currently undertaking a Master of Business Administration / Commerce at Deakin University.

#### PROFESSIONAL MEMBERSHIPS

Auden is an FPA member and abides by their code of professional conduct and ethics.

#### AUTHORISATIONS

Auden is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation; and
- Securities.



#### Head Office:

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### **WESTGARTH FEES AND CHARGES**

Auden may be paid by either Financial Planning Advice Fees and/or Commissions as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Auden's fee for the preparation of a Statement of Advice is based on an hourly rate of \$220 incl. GST. You will be notified of the cost involved prior to the commencement of any work if applicable.

Westgarth Financial Services Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Auden is a Director of Westgarth Financial Services Pty Ltd and will receive a salary/benefit from this company.

### **OTHER BENEFITS AUDEN MAY RECEIVE**

From time to time Auden may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.